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JC972 U.S. PTO


01-11-01. A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

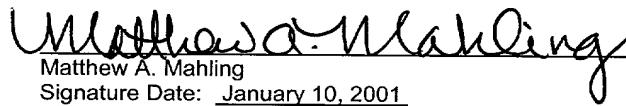
In re Application) PATENT APPLICATION
Inventor(s): John S. Flowers, Thomas C. Stracener)
SC/Serial No.: Unknown)
Filed: Herewith)
Title: QUERY-BASED RULES FOR USE IN NETWORK)
SECURITY SYSTEMS) Customer No. 23910
)

jc511 U.S. PTO
09/757812
01/10/01


**CERTIFICATE OF MAILING BY "EXPRESS MAIL"
UNDER 37 C.F.R. §1.10**

"Express Mail" mailing label number: EL670727763US
Date of Mailing: January 10, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service, utilizing the "Express Mail Post Office to Addressee" service addressed to **Box PATENT APPLICATION, Commissioner for Patents, Washington, DC 20231** and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.

 (Signature)
Matthew A. Mahling
Signature Date: January 10, 2001

UTILITY PATENT APPLICATION TRANSMITTAL LETTER UNDER 37 C.F.R. §1.53(b)

Box PATENT APPLICATION
Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application identified as follows:

Inventor(s):

Title:

No. of pages in Specification: 33; No. of Claims: 29.

No. of Sheets of Drawings: 4; Formal: X, Informal: .

Also enclosed are:

- A Declaration.
- An Assignment and Recordation Form Cover Sheet.
- A certified copy of a priority application.
- A Power of Attorney.
- A Statement Claiming Small Entity Status.
- An Information Disclosure Statement under 37 C.F.R. §1.56.
- X A Request for Non-publication.

The filing fee pursuant to 37 C.F.R. §1.16 is determined as follows:

No. Filed	No. Extra	Rate Small Entity/ Other Than Small Entity
Basic Fee		\$355.00 \$710.00 = \$710.00
Total Claims <u>29</u> - 20 = <u>9</u> *	X	\$ 9.00 \$ 18.00 = \$162.00
Independent Claims <u>10</u> - 3 = <u>7</u> *	X	\$ 40.00 \$ 80.00 = \$560.00
First Presentation of Multiple Dependent Claim(s) <u> </u>		\$135.00 \$270.00 = \$0
		Total = \$1,432.00

*If the difference is less than zero, enter "0".

- Please charge Deposit Account No. 06-1325 in the amount of \$_____. A duplicate copy of this authorization is enclosed.
- X A check in the amount of \$ 1,432.00 to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge underpayment of any additional fees (including those listed below) or credit any overpayment associated with this communication to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Any additional filing fees under 37 C.F.R. §1.16.

Any patent application processing fees under 37 C.F.R. §1.17.

This application is filed pursuant to 37 C.F.R. §1.53(b) in the name of the above-identified Inventor(s).

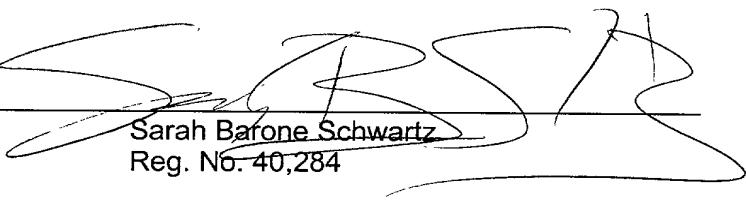
This application claims priority to an earlier-filed Provisional patent application, as set forth more fully in this application.

Please direct all correspondence concerning the above-identified application to the following address:

Sarah Barone Schwartz
FLIESLER, DUBB, MEYER & LOVEJOY LLP
Four Embarcadero Center, Suite 400
San Francisco, California 94111-4156
Telephone: (415) 362-3800

Respectfully submitted,

Date: January 10, 2001

By: 

Sarah Barone Schwartz
Reg. No. 40,284

FLIESLER, DUBB, MEYER & LOVEJOY LLP
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): J. Flowers, T. Stracener

SC/Serial No.: Unknown

Filed: Herewith

Title: QUERY-BASED RULES FOR USE
IN NETWORK SECURITY
SYSTEMS

PATENT APPLICATION

jc511 U.S. PRO
09/757872
01/10/01

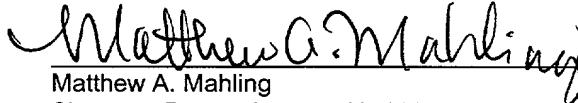

Customer No. 23910

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Matthew A. Mahling
Signature Date: January 10, 2001 (Signature)

**NON-PUBLICATION REQUEST AND CERTIFICATION
UNDER 35 U.S.C. 122(b)(2)(B)(i)**

Box PATENT APPLICATION
Commissioner for Patents
Washington, DC 20231

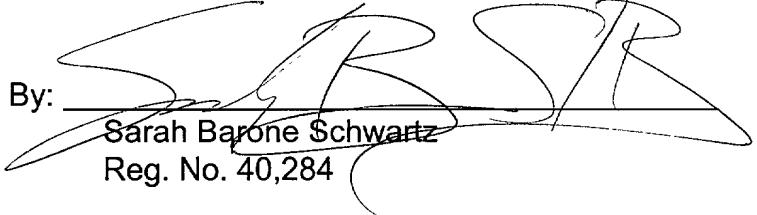
Sir:

I hereby certify that the invention disclosed in the application submitted herewith **has not and will not be** the subject of an application filed in another country, or under a

multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the application submitted herewith **not be published** under 35 U.S.C. 122(b).

Respectfully submitted,

By:



Sarah Barone Schwartz

Reg. No. 40,284

Date: January 10, 2001

FLIESLER, DUBB, MEYER & LOVEJOY LLP
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